

1 **Overwintering effects on the spring bloom dynamics of phytoplankton**

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3 Xiaoqi Fang<sup>1</sup> & Ulrich Sommer<sup>1,2</sup>

4 1- Helmholtz Centre for Ocean Research (GEOMAR), Düsterbrooker Weg 20, 24105 Kiel,

5 Germany

6 2- Corresponding editor: Ulrich Sommer. E-mail: [usommer@geomar.de](mailto:usommer@geomar.de)

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10 **Key words:** phytoplankton – overwintering – spring bloom – dark survival

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12 **Running head:** Phytoplankton overwintering

## 13        ***Abstract***

14        The influence of winter on the selection of dominant taxa for the phytoplankton spring bloom  
15 was studied in batch culture experiments. Different natural phytoplankton assemblages from  
16 different phases of the temperate zone winter were exposed to varying periods of darkness (0, 6/7,  
17 13, and 19 weeks) followed by a re-exposure to saturating light intensity for 14 days to  
18 experimentally simulate the onset of spring. The results showed that dark incubation plays a strong  
19 effect on shaping the phytoplankton community composition. Many taxa disappeared in the  
20 absolute darkness. Dark survival ability might be an important contributing factor for the success of  
21 diatoms in spring. Different phytoplankton starting assemblages were dominated by the same  
22 bloom-forming diatoms, *Skeletonema marinoi* and *Thalassosira* spp., after dark incubation for only  
23 6 weeks, irrespective of the high dissimilarities between phytoplankton communities. The growth  
24 capacity of surviving phytoplankton is almost unimpaired by darkness. Similar growth rates as that  
25 before darkness could be resumed for the surviving taxa with a potential lag time of 1-7 days  
26 dependent on taxon and the duration of darkness.

## 27        ***Introduction***

28        The spring bloom is a renowned feature of the seasonal phytoplankton dynamics in temperate  
29 and cold oceans and lakes. Three decades ago, Sommer *et al.* (1986) proposed the plankton ecology  
30 group (PEG) model as a standard template to describe the seasonal succession of plankton in  
31 common patterns of sequential steps. After that, additional types of interactions driving details in  
32 taxonomic and functional group replacements during the growth season were detected by extensive  
33 studies (summarized in Sommer *et al.*, 2012b). Nevertheless, relatively little work has been carried  
34 out on overwintering dynamic considering it occupies a long period of time in the overall  
35 succession process in temperate and cold environments. The widespread lack of attention to the  
36 overwintering period has also been noticed in the revised version of the PEG model (Sommer *et al.*,  
37 2012b).

38        The overwintering period starts from late autumn when the abiotic environment deteriorates,  
39 leading to a negative community net production and ends next early spring when a new bloom  
40 begins. As the final step mentioned in the original PEG model, a start close to zero of both  
41 phytoplankton and zooplankton was assumed during the winter-spring transition (Sommer *et al.*,  
42 1986). However, considerable evidence for some winter growth of phytoplankton (Behrenfeld, 2010)

43 and for zooplankton overwintering(Campbell, 2008; Hagen *et al.*, 1996) has emerged.

44 The most obvious characteristic of winter is the low light intensity. Light supply is considered  
45 to be the single dominant trigger of the spring bloom in both old and updated PEG models (Sommer  
46 *et al.*, 2012b; Sommer *et al.*, 1986). This idea is in agreement with the classical concept of critical  
47 depth hypothesis (Sverdrup, 1953). The significance of light in bloom initiation was also confirmed  
48 by Siegel *et al.* (2002) who found a notable uniform daily light dose of  $1.3 \text{ mol photons m}^{-2} \text{ d}^{-1}$  at  
49 the start of the spring bloom for the North Atlantic Ocean. Conversely, lack of light is also seen as  
50 the primary explanatory factor for the winter minimum in the cold-temperate and boreal zone, while  
51 there is no winter depression of phytoplankton in the more light-rich Mediterranean  
52 (Moustaka-Gouni *et al.*, 2014). Thus, different dark survival abilities and strategies between  
53 different taxonomic groups in phytoplankton may provide a driving factor for the overwintering  
54 dynamics.

55 Overwintering capabilities of the different phytoplankton taxa might be important for the  
56 composition of the subsequent spring bloom because it determines the initial abundance of species  
57 for the spring bloom. Long-term survival in darkness has been well studied with isolated  
58 phytoplankton strains showing that several diatoms could survive for up to 1 year in the vegetative  
59 stage (Antia, 1976), although with interspecific differences (Antia, 1976; Griffis & Chapman, 1988;  
60 Lewis *et al.*, 1999; Peters, 1996; Peters & Thomas, 1996a; Smayda & Mitchell-Innes, 1974). Some  
61 bloom-forming diatoms, like *Skeletonema* spp., *Thalassiosira* spp., and *Ditylum brightwellii*,  
62 showed strong dark survival ability (Antia, 1976; Griffis & Chapman, 1988; Murphy & Cowles,  
63 1997; Peters, 1996; Peters & Thomas, 1996a). Strains qualified as benthic types usually have longer  
64 survival times than pelagic types and the temperature for maximal dark survival could be  
65 determined by the temperature regions from which the strains were isolated (Antia, 1976).

66 Survival of winter darkness is necessary but may not be sufficient for the formation of the  
67 spring bloom. Starting growth quickly after the improvement of light conditions and achieving  
68 higher exponential growth rates are equally important. It has been shown that diatoms have a higher  
69 inherent growth rate than flagellates in the absence of silicate limitation (Egge & Aksnes, 1992).  
70 The question is whether this growth rate will be negatively affected by the physiological  
71 consequences of prolonged survival in darkness or under low light. Most studies suggest that  
72 darkness has no effect on growth rate even after a relatively long period of dark incubation time

73 (Araujo *et al.*, 2008; Furusato *et al.*, 2004; Murphy & Cowles, 1997; Peters, 1996; Peters & Thomas,  
74 1996a; Peters & Thomas, 1996b; Vermaat & Sand-Jensen, 1987) indicating that species could  
75 survive in the dark without physiological impairment. However, a decrease of growth rate with the  
76 increase of dark incubation time was reported for several diatom species, such as *Skeletonema*  
77 *costatum*, *Chaetoceros curvisetus*, and *Thalassiosira gravida* (Smayda & Mitchell-Innes, 1974).

78 Species do not always start exponential growth immediately when re-exposed to the light, but  
79 often start after a lag phase. Although growth rate could be resumed at the initial level, the recovery  
80 time would increase with the increasing dark incubation time (Peters, 1996; Peters & Thomas,  
81 1996a; Peters & Thomas, 1996b). This might be caused by the gradual decrease of photosynthetic  
82 pigments in response to darkness (Lüder, 2003). A lag phase of 1-7 days is common (Araujo *et al.*,  
83 2008; Coughlan, 1977; Peters, 1996; Peters & Thomas, 1996b). It could be longer if the dark  
84 incubation time is extended. In the prolonged darkness, it was reported that the lag time of  
85 *Thalassiosira antarctica* increased from immediate growth to 13 days when dark incubation time  
86 increased from 21 days to 127 days and the lag time of *Thalassiosira tumida* increased from 3 days  
87 to 15 days when dark incubation time increased from 148 days to 272 days (Peters & Thomas,  
88 1996a).

89 No doubt that these studies provide a valuable reference on the dark survival ability and  
90 growth capacity of individual species after winter, the problem is these monoculture studies  
91 excluding other species are insufficient to predict if diatoms would still be able to succeed under  
92 competition, consumption or infection. Actually, there was one study conducted by Zhang *et al.*  
93 (1998) who exposed natural phytoplankton assemblage samples collected from Arctic sea ice to a  
94 6-month dark incubation and found that the dominant species shifted from pennate diatoms to small  
95 flagellates after darkness, and flagellates had a higher growth rate than diatoms in the subsequent  
96 light culture. These findings are opposite to our expectation derived from the monoculture dark  
97 survival experiments.

98 Therefore, we proposed to fill the knowledge gap by exposing different natural phytoplankton  
99 assemblages from different phases of the temperate zone winter immediately and after dark  
100 incubation to saturating light intensity to experimentally simulate the onset of the spring bloom at  
101 the community level. By analysing the changes in taxon abundance, growth rate and lag phase, we  
102 expected to answer three questions:

- 103 1. How do different overwintering inocula respond to the darkness?  
104 2. How do growth rates of individual taxa change after dark incubation?  
105 3. How do lag phases of individual taxa change after varying time intervals of dark?  
106

## 107 ***Method***

### 108 ***Experimental design***

109 Water samples of different natural phytoplankton assemblages were collected at 5m depth in  
110 the early, middle and late winter from Kiel Fjord, Baltic Sea, Germany (54°19'46"N 10°09'18"E).  
111 The three communities sampled at different times in winter were called W1, W2, and W3,  
112 respectively. In situ, environmental conditions during sampling of the three communities were  
113 relatively similar to each other (Table 1). Initial nutrient concentrations were high in all the  
114 communities but were slightly lower in the late winter water. Therefore, extra nutrients of 8.42  
115  $\mu\text{mol L}^{-1}$  silicate (Si), 0.47  $\mu\text{mol L}^{-1}$  phosphate (P), and 7.89  $\mu\text{mol L}^{-1}$  nitrate (N) were added in W3  
116 to balance the decline. After filtration by a 250 $\mu\text{m}$  mesh to avoid grazing from large zooplankton,  
117 water samples were distributed into 2L plastic bottles. Four of the bottles were immediately  
118 incubated in light (0 weeks dark incubation = control) while the remaining ones were incubated in  
119 darkness for different periods before exposing to light. The dark incubations lasted for 0, 6, 13, and  
120 19 weeks (W1), 0, 7, and 13 weeks (W2), or 0 and 6 weeks (W3). Each treatment was replicated 4  
121 times. Light incubations following darkness lasted for 14d. Light was offered at a saturating level  
122 ( $100 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ; Light/dark cycle= 12:12h). Light and dark incubation temperatures were  
123 4°C. During culturing, bottles were gently shaken every day to assure a homogenous distribution of  
124 the plankton and to avoid the growth of benthic microalgae on the wall of bottles. Phytoplankton  
125 subsamples (100 mL) were collected three times per week (Monday, Wednesday, and Friday).  
126 Subsamples which were counted by inverted microscope were fixed with alkaline Lugol's solution  
127 and stored in brown glass bottles. Subsamples for flow cytometric analysis were fixed with 37%  
128 formaldehyde, frozen immediately by liquid nitrogen and then stored at -80°C to protect  
129 chlorophyll from decomposing.

130 Phytoplankton  $>5\mu\text{m}$  were counted by the inverted microscope method after sedimentation for  
131 at least 24 h (Utermöhl, 1958). Phytoplankton were identified to the genus level in most cases. The  
132 aim was to count 100 individuals per taxon group in order to obtain 95% confidence limits of  $\pm 20\%$ ,

133 except for rare taxa. For log transformations half of the detection limit was used as zero  
134 replacement value, if a taxon was undetectable only at a few sampling occasions. Phytoplankton  
135 biomass was estimated as carbon biomass converted from cell volumes (Menden-Deuer & Lessard,  
136 2000) which were measured through the calculation of similar geometric standard solids  
137 (Hillebrand *et al.*, 1999). Small phytoplankton (<5µm) were counted by a flow cytometer  
138 (FACScalibur, Becton Dickinson, San Jose, CA, USA) and distinguished by size and fluorescence  
139 of allophycocyanin, chlorophyll a and phycoerythrin without further taxonomic identification. All  
140 picoplankton cells detected by flow cytometer were assumed to be spherical and estimated by the  
141 volume conversion factor of 0.157 pg C µm<sup>-3</sup> (Sommer *et al.*, 2012c).

#### 142 ***Data analysis***

143 The analysis of the microbial plankton communities focused on phytoplankton and excluded  
144 bacteria, heterotrophic flagellates, ciliates, and benthic microalgae. Phytoplankton were categorized  
145 into five functional groups by size classification (Sieburth *et al.*, 1978) and the distinction between  
146 diatoms and flagellates: picoplankton (<3µm), nanodiatoms (3-20µm), nanoflagellates (3-20µm),  
147 dinoflagellates (>20µm), and microdiatoms (>20µm). The dissimilarities between different  
148 phytoplankton communities were analysed by SIMPER test (Clarke, 1993) using PRIMER 7, based  
149 on the Bray-Curtis dissimilarity coefficient (Bray & Curtis, 1957). Community indexes were  
150 calculated without inclusion of picoplankton because of the different level of taxonomic resolution.  
151 The Shannon-Wiener index of diversity (H') was calculated from genus abundance data:

$$152 \quad H' = - \sum_{i=1}^s p_i \log_2 p_i \quad (1)$$

153 where p<sub>i</sub> is the relative abundance of taxon i, s is the number of taxa.

154 Growth rate and lag time were taken as the main indicators of growth capacity. The growth rate  
155 was calculated as the slope of a linear regression fitted through a semi-logarithmic plot of  
156 abundance on time (log N – time) during the exponential phase, i.e. the linear portion of the growth  
157 curve. Lag time was calculated by the intercept between the fitted regression line and the baseline  
158 which was the abundance of taxa at the beginning. Negative values of lag time implying that no lag  
159 phase was found were adjusted to zero. If the growth curve did not show the typical batch culture  
160 pattern (lag phase, exponential phase, stationary phase), an average growth rate ( $\bar{\mu}_{\text{growth}}$ ) from day 0  
161 to 14 was calculated instead. Differences between metrics of growth capacity (i.e., growth rate and  
162 lag time) were evaluated for statistical significance using analysis of variance. One-way ANOVA

163 was conducted to analyse the impact of dark incubation on growth rate and lag phase. Two-way  
164 ANOVA was used to examine the combined effect of dark incubation and community. Normality  
165 was checked by Shapiro-Wilk test and homogeneity of variance was checked by Fligner-Killeen test.  
166 If the assumptions of normality and homogeneity could not be satisfied even after transformations,  
167 an alternative non-parametric test was used instead (i.e. Welch's t-test).

168

## 169 ***Results***

### 170 ***Phytoplankton community***

171 The initial phytoplankton community compositions differed strongly between different  
172 sampling periods, but were uniform between replicates of the same community (Table 2). The  
173 dissimilarities of initial composition were 77% between W1 and W2, 54% between W1 and W3,  
174 and 77% between W2 and W3. The total biomass ranged from 18 to 22  $\mu\text{g C L}^{-1}$  in W1 and W3 but  
175 was lower in W2 with only 6  $\mu\text{g C L}^{-1}$ . In W1, initial phytoplankton biomass was dominated by  
176 microdiatoms ( $75.5 \pm 4.2\%$ , SD). W2 was dominated by nanoflagellates ( $63.8 \pm 18.9\%$ ). W3 was  
177 dominated by microdiatoms ( $53.8 \pm 5.1\%$ ) followed by picoplankton ( $19.9 \pm 3.5\%$ ) and  
178 nanoflagellates ( $19.3 \pm 5.8\%$ ). Dinoflagellates together with nanodiatoms formed less than 10% of  
179 the total biomass in each community. In all communities, picoplankton were represented by the  
180 same two clusters distinguished by differences in fluorescence of phycoerythrin and chlorophyll a.  
181 The abundances of heterotrophic plankton are listed in Table 3 as a reference. Nauplii and copepods  
182 were discovered in W2 and W3 but not in W1, while, microzooplankton (ciliates and heterotrophic  
183 flagellates) were more abundant in W1 than in W2 and W3.

### 184 ***Dark survival capability***

185 Most of the phytoplankton taxa did not survive 6 weeks of dark incubation in the natural  
186 assemblage communities. The diversity of communities decreased dramatically during that time.  
187 The diversity index ( $H'$ ) decreased from 1.46 to 0.38, 0.92 to 0.25, and 2.31 to 0.81 for W1, W2,  
188 and W3, respectively. The richness of detectable surviving taxa was also low, with 8 taxa in W1, 4  
189 taxa in W2, and 5 taxa in W3. Among the surviving phytoplankton, some taxa were unable to  
190 resume measurable cell division after re-illumination, few other taxa could grow again (Table 4).  
191 Several heterotrophic zooplankton could also survive in the dark, such as *Protoperidinium*,  
192 *Gyrodinium*, *Strobilidium*, and copepods. No resting spores or cysts were observed during the dark

193 incubation experiment. Picoplankton became undetectable during dark incubation but re-appearance  
194 of both clusters after re-illumination suggests that they had not disappeared. The abundance ratio  
195 between heterotrophs and phytoplankton increased from less than 0.01 before dark incubation in all  
196 communities to about 0.02 in W1 and W3 and to even more than 1.0 in W2 after darkness.

197 Taxa that survived the first 6 weeks of dark incubation normally persisted during prolonged  
198 darkness although the abundance gradually decreased as the dark incubation time increased. The  
199 survivorship patterns varied among different taxa in W1 (Fig. 1). *Skeletonema* displayed the typical  
200 type I survivorship curve ( $p < 0.001$ ), whereas *Thalassiosira* with a steady mortality rate followed  
201 the type II survivorship curve ( $p < 0.001$ ). For both taxa, only about 5% of the initial biomass of each  
202 taxon survived after 19 weeks of darkness. All other phytoplankton, which had much lower  
203 abundance after dark incubation and were calculated together as “all other species”, showed the  
204 type III survivorship curve ( $p < 0.001$ ). The surviving “all other species” formed only about 1% of  
205 their initial biomass after 19 weeks of darkness.

206 *Pseudo-nitzschia* from W1 and W3 communities behaved differently from each other.  
207 *Pseudo-nitzschia* from W1 experienced a catastrophic population decline already after 6 weeks in  
208 the darkness with no ability to grow after re-illumination, while, the *Pseudo-nitzschia* from W3 not  
209 only exhibited a much higher survival rate but could also regrow in the subsequent growth  
210 experiment. A morphological analysis based on the density of striae showed that these were two  
211 different types presented in the two communities (W1: 17 striae in 10  $\mu\text{m}$ ; W3: 23 striae in 10  $\mu\text{m}$ ;  
212 assessed with empty frustules under phase contrast). Contrary to *Pseudo-nitzschia*, the dark survival  
213 abilities of other phytoplankton taxa showed only minor change between the different experimental  
214 communities.

### 215 ***Growth after dark incubation***

216 *Skeletonema marinoi* and *Thalassiosira* were the winners in the light culture after varying  
217 periods of dark incubations, comprising more than 98%, 94%, and 85% of the total biomass at the  
218 end of culture in W1, W2, and W3, respectively. However, the three phytoplankton communities  
219 showed clearly different compositions in the light culture without prior dark incubation. W1 was  
220 dominated by a *S. marinoi* alone which contributed more than 80% to the total biomass after 14-day  
221 light incubation, while, W2 and W3 were co-dominated by several taxa. Specifically, W2 was  
222 dominated by the diatoms *Proboscia alata* ( $19 \pm 3\%$ ), *Skeletonema marinoi* ( $15 \pm 7\%$ ), *Chaetoceros*



223 (24±6%), *Coscinodiscus* (20±12%), and the cryptophyte *Teleaulax* (10±13%) equally. W3 was  
224 dominated by *Thalassiosira* (48±20%) followed by *Skeletonema marinoi* (26±19%) and  
225 *Pseudo-nitzschia* (12±3%).

226 Only few taxa could resume growth after dark incubation (Table 4). Growth rates (Fig. 2;  
227 Supplementary Document 1) could be calculated for *Skeletonema marinoi* and *Thalassiosira* in all  
228 the communities and the sum of “all other species” for W1 and W3, while for W3 growth rates  
229 could also be calculated for *Pseudo-nitzschia*. The growth rates of picoplankton and *Attheya*  
230 *septentrionalis* could not be calculated.

231 No significant changes of growth rates were discovered after dark incubation by the analysis  
232 with three different taxa. *Skeletonema* and *Thalassiosira* maintained consistent growth rates in W1  
233 and W2 during 19 and 13 weeks dark incubation. Growth rates of the three taxa analysed in W3  
234 decreased, but not significantly. In all the experiments, *Skeletonema* and *Thalassiosira* showed  
235 similar growth rates ranging from 0.50 to 0.88 day<sup>-1</sup> which was higher than that of *Pseudo-nitzschia*  
236 with 0.36 day<sup>-1</sup>. The growth rate of “all other species” was even lower which ranged from 0.08 to  
237 0.27 day<sup>-1</sup> even before dark incubation. There were almost no biomass increases of “all other  
238 species” in the cultures after darkness, except for W3 (Fig. 2; Supplementary Document 1).

239 A two-factor ANOVA showed no significant effect of the duration of darkness on the growth  
240 rates of *Skeletonema* and *Thalassiosira*, while there was a significant effect of community of origin  
241 and (*Skeletonema*:  $F_{2,18}=8.8$ ,  $P<0.01$ ; *Thalassiosira*:  $F_{2,18}=34.5$ ,  $P<0.001$ ) and a significant  
242 interaction effect of darkness and community (*Skeletonema*:  $F_{2,18}=8.0$ ,  $P<0.01$ ; *Thalassiosira*:  
243  $F_{2,18}=5.7$ ,  $P<0.05$ ). To balance the design of experimental duration, the two-way ANOVA only  
244 included dark incubation times of 0 and 6 weeks.

245 The responses of lag time to the duration of dark incubation varied among taxa. *Skeletonema*  
246 was not negatively influenced by darkness and maintained a similar lag time after 19 weeks dark  
247 incubation and the lag time of *Skeletonema* in W2 even decreased after darkness ( $F_{1,10}=11.58$ ,  
248  $P<0.01$ ). In contrast, *Thalassiosira*, from both W1 and W3, displayed a significant increase in lag  
249 time of 2-3 days (W1:  $F_{1,14}=15.12$ ,  $P<0.01$ ; W3:  $F_{1,6}=12.01$ ,  $P<0.05$ ). *Pseudo-nitzschia* from W3  
250 showed the longest lag time of 5 days after 6 weeks incubation (Welch’s test:  $p<0.01$ ). The  
251 following two-way ANOVA tests with *Skeletonema* and *Thalassiosira* confirmed that the lag times  
252 of *Thalassiosira* were significantly influenced by darkness ( $F_{1,18}=7.1$ ,  $P<0.05$ ), while the lag time of

253 *Skeletonema* was significantly affected by the factor community and its interaction with darkness  
254 ( $F_{2,18}=4.6$ ,  $P<0.05$ ). The lag time of the three taxa and “all other species” from different  
255 communities were only minor (if at all detectable) and ranged from 1-7 days in the culture before  
256 and after dark incubation.

257

## 258 ***Discussion***

259 Our experiments focused on the two most important traits which enable phytoplankton to  
260 dominate the spring bloom in cold-temperate and boreal latitudes, survival of an extended low-light  
261 period and the ability to resume growth thereafter. The dark incubations indicated a strong selection  
262 pressure by the combination of lack of an essential growth resource (light) and continued losses to  
263 heterotrophic consumers. All phytoplankton taxa under study substantially lost biomass and the  
264 majority became undetectable leading to low diversity of the surviving communities. Only few  
265 diatoms and mixotrophic flagellates together with taxonomically unidentified picoplankton formed  
266 the residual biomass after dark incubation. The comparison between the three experimental  
267 communities shows a unifying effect of dark incubation on phytoplankton community composition.  
268 Irrespective of the initial composition, the three different communities were dominated by the same  
269 bloom-forming diatoms, *Skeletonema* and *Thalassiosira*, when cultured in the light again.  
270 Interspecific differences in growth rate after re-illumination reinforced the survival effect because  
271 *Skeletonema* and *Thalassiosira* outperformed the rest of the taxa.

272 According to the long-term observations in the Kiel Bight, three diatom genera, *Skeletonema*,  
273 *Thalassiosira*, and *Chaetoceros*, are the most important components in the spring phytoplankton  
274 biomass (Smetacek, 1985; Wasmund *et al.*, 2008; Wasmund *et al.*, 1998). Unlike the other two  
275 diatoms, *Chaetoceros* is more likely to become dominant when there is a later spring bloom  
276 (Smetacek, 1985; Wasmund *et al.*, 2008). Considering this difference, the mechanism promoting the  
277 dominance of *Chaetoceros* might be slightly different from the other two genera. Photographs taken  
278 during the course of the experiment suggest that most of the *Chaetoceros* in our samples were *C.*  
279 *decipiens*.

280 The successful survival of diatoms is in agreement with dark survival studies of individual  
281 species (Antia, 1976; Griffis & Chapman, 1988; Murphy & Cowles, 1997; Peters, 1996; Peters &  
282 Thomas, 1996a). Similarly, the ability of diatoms to start growth after darkness either immediately

283 or after a short delay (<1 week) has been demonstrated by several single species culture studies  
284 (Araujo *et al.*, 2008; Furusato *et al.*, 2004; Murphy & Cowles, 1997; Peters, 1996; Peters & Thomas,  
285 1996a; Peters & Thomas, 1996b; Vermaat & Sand-Jensen, 1987). However, one study conducted  
286 with a natural phytoplankton assemblages resulted in the dominance of flagellates after dark  
287 incubation (Zhang *et al.*, 1998). A possible explanation for the important difference between both  
288 studies lies in the fact that Zhang *et al.* (1998) obtained their experimental community from melting  
289 ice which means phytoplankton had been frozen before the study. Freezing has been shown to  
290 strongly influence survival and growth ability of microalgae (Vermaat & Sand-Jensen, 1987), but  
291 possibly with different taxon specific effects than darkness.

292 While the temperature conditions and nutrient concentrations of our study are representative of  
293 present-day conditions in the Baltic Sea, further climate warming might change survival and  
294 re-growth capabilities of overwintering phytoplankton. Reeves *et al.* (2011) suggested that  
295 increasing temperature during Antarctic winter will have little effect on diatoms since the dark  
296 survival of Antarctic diatoms is temperature insensitive, only significantly impacted at an unrealistic  
297 temperature of 10°C. However, increasing food demand of heterotrophs and mixotrophic flagellates  
298 is likely to increase mortality rates of phytoplankton in darkness as indicated by the continued  
299 decrease in abundance of surviving taxa during 19 weeks of darkness.

300 Compared to natural conditions, the darkness incubation was an extreme treatment, because  
301 winter phytoplankton experience low light, but not complete darkness, except for the polar night  
302 and of ice covered water bodies with a thick layer of snow. This difference might explain why some  
303 of the taxa unable to survive darkness were found in the mid- and late winter field samples, e.g. the  
304 diatom *Proboscia alata* and the cryptophyte *Teleaulax*.

305 The incubation in 2 L bottles might have caused some artifacts, e.g. the reduction of loss rates  
306 relative to in situ conditions due to sinking or to grazers present in situ at abundances of less than a  
307 1 Ind L<sup>-1</sup>. However, these losses are considered low: (1) sedimentary losses play a negligible role  
308 during the high turbulence regime of an ice-free winter, (2) except for excluding mesozooplankton  
309 by sieving with 250 µm mesh size, grazer densities at the start of the experiment conformed to the  
310 natural situation. The decline of abundance during dark incubation affected all autotrophic,  
311 mixotrophic and heterotrophic protists, therefore competitive, allelopathic and predatory  
312 interactions will have declined, but this is a community wide effect of darkness (with indirect

313 ramifications through biotic interactions) which was within the target of a study at the community  
314 level and not an artifact. The tendency of increasing heterotroph to autotroph ratios during darkness  
315 might have led to increasing grazing losses affecting mostly picoplankton, while diatoms are less  
316 likely to be grazed by the microzooplankton. Similarly, increases of microzooplankton because of  
317 the removal of copepods will have mainly affected picoplankton. The absence of sediment in the  
318 bottles might have excluded resting stages and discriminated against taxa relying on resting stages  
319 for overwintering.

320 The observed lag-phases were short (less than 1 week) and confirm the ability of  
321 phytoplankton to quickly resume growth when light availability reaches a sufficient level. Increases  
322 in lag as a consequence of increasingly long dark incubation were found in some cases, but not in  
323 all cases (Fig. 3; Supplementary Document 1). The increase in lag time by dark incubation was also  
324 found with monospecific cultures (Peters, 1996; Peters & Thomas, 1996a; Peters & Thomas,  
325 1996b). However, the duration of the lag phase after darkness is only a minor effect on the timing of  
326 the spring bloom compared to the one-month delay that could be caused by the low light (Sommer  
327 *et al.*, 2012a). Therefore, changes in lag time introduced by variability in exposure to darkness does  
328 not explain the time shift of the spring bloom from April to March in Kiel Bight at the beginning of  
329 21st century (Wasmund *et al.*, 2008). Future research should focus, inter alia, on the effect of  
330 warming, which is expected to increase respiration rates both of auto- and heterotrophs while  
331 seasonal light availability will not increase during the period before the onset of thermal  
332 stratification. However, an earlier onset of stratification will not only improve light supply to  
333 phytoplankton (Sverdrup, 1963), it will also lead to an earlier onset of nutrient limitation, as  
334 opposed to the nutrient-replete conditions in our experiment.

335

## 336 ***Conclusions***

337 Darkness in winter is a very unfavorable environment for phytoplankton and many taxa cannot  
338 survive in the absolute dark for few weeks in the natural assemblage community. However, despite  
339 its strong impact on the survival of phytoplankton, the growth capacity of surviving phytoplankton  
340 is almost unimpaired. Surviving taxa could still resume a similar growth rate as that before darkness  
341 with a potential lag phase of only a few days. Dark survival ability might be the contributing factor  
342 for the success of diatoms in the spring bloom and seems the most plausible explanation for the

343 annually repeating pattern of the phytoplankton spring bloom. Three different communities were  
344 dominated by the same bloom-forming diatoms in the culture after dark incubation.

345

### 346 ***Acknowledgements***

347 Stefanie Ismar is gratefully acknowledged for the advice on statistical analysis and comments on the  
348 manuscript. Thanks go to Thomas Hansen and Bente Gardeler for the technical assistance and the  
349 help in the organization of experiment. Thanks to Christian Hesse for the help during field sampling  
350 and Evangelia Charalampous for the introduction of phytoplankton counting method.

351

### 352 ***Funding***

353 This work was supported by the core funding group of the second author's research unit  
354 (Experimental Ecology – Food Webs) at GEOMAR Helmholtz Centre for Ocean Research Kiel.

355

### 356 ***Data Archiving***

357 Upon acceptance of the manuscript, data will be made publicly available in PANGAEA  
358 ([www.pangaea.de](http://www.pangaea.de))

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- 442



443

**Tables**

444

445

**Table 1.** Summary of the environmental conditions

Sample	Day	$I_{\text{mix}}$	pH	Salinity	T	Si	PO <sub>4</sub>	NO <sub>3</sub>	NH <sub>4</sub>
W1	Dec. 7, 2015	18.4	7.86	21.4	8.55	19.79	1.21	13.44	4.76
W2	Jan. 18, 2016	43.7	7.96	20.8	2.95	17.17	0.94	12.26	3.06
W3 <sup>a</sup>	Mar. 7, 2016	186.1	7.96	20.4	4.18	22.17	1.20	19.28	2.24

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$I_{\text{mix}}$  is the 24 h mean of the integrated mixed water column (12m) irradiance, calculated from surface irradiance according to Riley (1957), assuming an attenuation coefficient of 0.3 m<sup>-1</sup> ( $\mu\text{mol photons PAR m}^{-2} \text{ d}^{-1}$ ), T is the temperature measured in situ ( $^{\circ}\text{C}$ ); dissolved nutrients are the concentration in the bottles ( $\mu\text{mol L}^{-1}$ )

<sup>a</sup> includes the extra nutrients

452 **Table 2.** The biomass of functional groups of phytoplankton before the culture

Phytoplankton group	Mean biomass ( $\mu\text{g C L}^{-1}\pm\text{SD}$ )		
	W1	W2	W3
<b>Microdiatoms</b>			
<i>Chaetoceros</i>	-	+	3.08±0.34
<i>Coscinodiscus</i>	-	-	4.08±1.88
<i>Ditylum brightwellii</i>	0.15±0.15	-	-
<i>Guinardia flaccida</i>	+	-	+
<i>Guinardia</i>	+	-	0.26±0.16
<i>Proboscia alata</i>	0.45±0.16	0.86±0.15	+
<i>Pseudo-nitzschia</i>	12.3±2.6	-	2.4±0.3
<i>Rhizosolenia</i>	0.64±0.35	-	-
<i>Thalassionema</i>	+	-	-
<i>Thalassiosira</i>	1.92±0.42	-	0.21±0.06
<b>Dinoflagellates</b>			
<i>Ceratium lineatum</i>	+	+	0.83±0.29
<i>Dinophysis</i>	0.14±0.14	0.19±0.2	0.14±0.1
<i>Prorocentrum</i>	+	+	+
<i>Ceratium fusus</i>	0.23±0.02	+	+
<i>Ceratium tripos</i>	-	+	-
<b>Other microplanktonic flagellates</b>			
<i>Eutreptiella braarudii</i>	-	+	-
<b>Nanodiatoms</b>			
<i>Chaetoceros minimus</i>	+	-	-
<i>Leptocylindrus minimus</i>	+	-	+
<i>Skeletonema</i>	1.67±0.44	+	0.28±0.2
<b>Nanoflagellates</b>			
<i>Dictyocha</i>	+	+	+
<i>Eutreptiella gymnastica</i>	-	+	2.97±0.61
<i>Plagioselmis</i>	-	1.18±0.52	+
<i>Teleaulax</i>	2.34±0.08	3.26±3.08	0.43±0.34
<b>Picoplankton</b>			
pico A	0.16±0.03	+	+
pico B	0.16±0.01	0.53±0.03	3.57±0.52

453 + means the rare taxa with biomass less than  $0.1 \mu\text{g C L}^{-1}$

454 - means the absence of taxa

455 **Table 3.** The abundance of heterotrophs before the culture

Heterotrophic group	Mean abundance (N L <sup>-1</sup> ±SD)		
	W1	W2	W3
Ciliates	170±50	340±190	160±100
<i>Gyrodinium</i>	140±120	120±50	-
<i>Protoferidinium</i>	370±170	110±40	80±40
<i>Katodinium</i>	910±1230	-	-
<i>Protoferidinium bipes</i>	480±560	440±620	-
Nauplii	-	5±10	20±23
Copepods	-	-	40±32

456 - means the absence of taxa

457 **Table 4.** The survival abilities of phytoplankton after dark incubation for 6 weeks

No survival	Survival without growth <sup>a</sup>	Survival and regrowth <sup>a</sup>
<i>Ceratium fusus</i>	<i>Chaetoceros</i>	<i>Attheya septentrionalis</i>
<i>Ceratium lineatum</i>	<i>Coscinodiscus</i>	Picoplankton <sup>b</sup>
<i>Ceratium tripos</i>	<i>Ditylum brightwellii</i>	<i>Pseudo-nitzschia</i> <sup>c</sup>
<i>Chaetoceros minimus</i>	<i>Dinophysis</i>	<i>Skeletonema</i>
<i>Dactyliosolen fragillissimus</i>	<i>Prorocentrum</i>	<i>Thalassiosira</i>
<i>Dictyocha</i>	<i>Pseudo-nitzschia</i> <sup>c</sup>	
<i>Eutreptiella braarudii</i>		
<i>Eutreptiella gymnastica</i>		
<i>Heterocapsa rotundata</i>		
<i>Guinardia flaccida</i>		
<i>Guinardia</i>		
<i>Leptocylindrus minimus</i>		
Picoplankton <sup>b</sup>		
<i>Plagioselmis</i>		
<i>Proboscia alata</i>		
<i>Rhizosolenia</i>		
<i>Teleaulax</i>		
<i>Thalassionema</i>		

458 <sup>a</sup> survival means the taxa showed relatively consistent presence in the subsequent light culture

459 <sup>b</sup> the picoplankton discovered before darkness had disappeared, while, new picoplankton were identified to grow

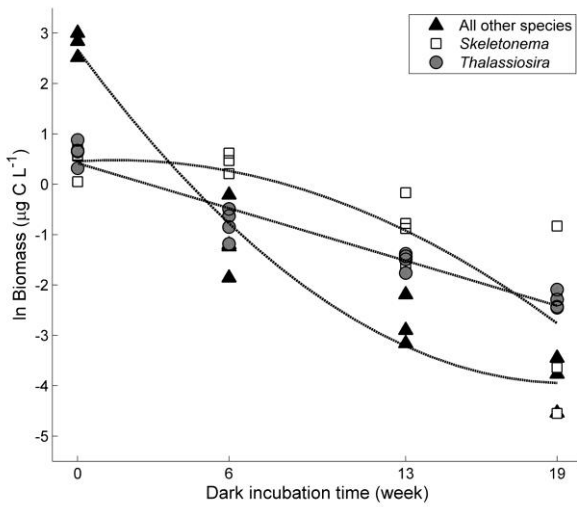
460 <sup>c</sup> *Pseudo-nitzschia* behaved differently for the species from different communities

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## Figure Legends

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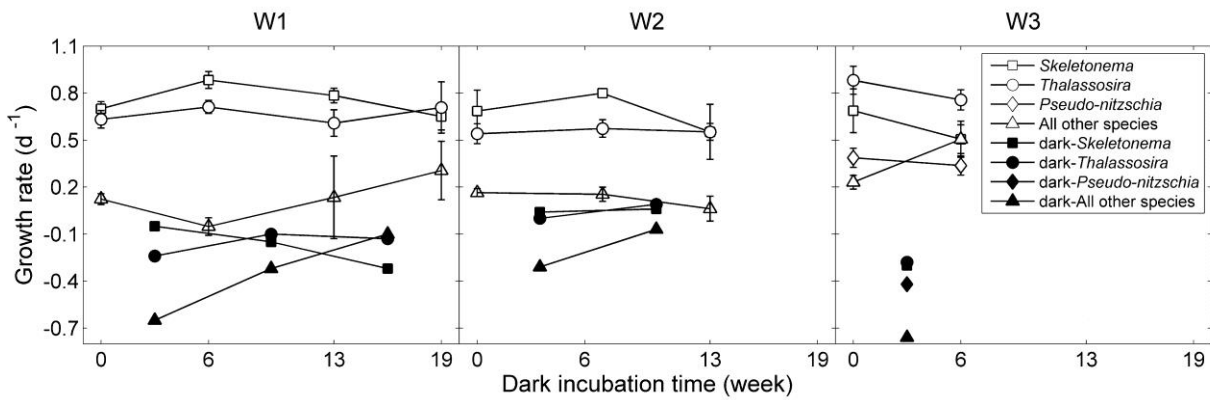


464

465 **Fig. 1** Dark survival rates of species from W1, the lines show the regressions fitted to the data: all  
 466 other species:  $Y=0.017X^2-0.679X+2.671$ ,  $R^2=0.961$ ; *Skeletonema*:  $Y=-0.011X^2+0.032X+0.4556$ ,  
 467  $R^2=0.721$ ; *Thalassiosira*:  $Y=-0.149X+0.418$ ,  $R^2=0.938$

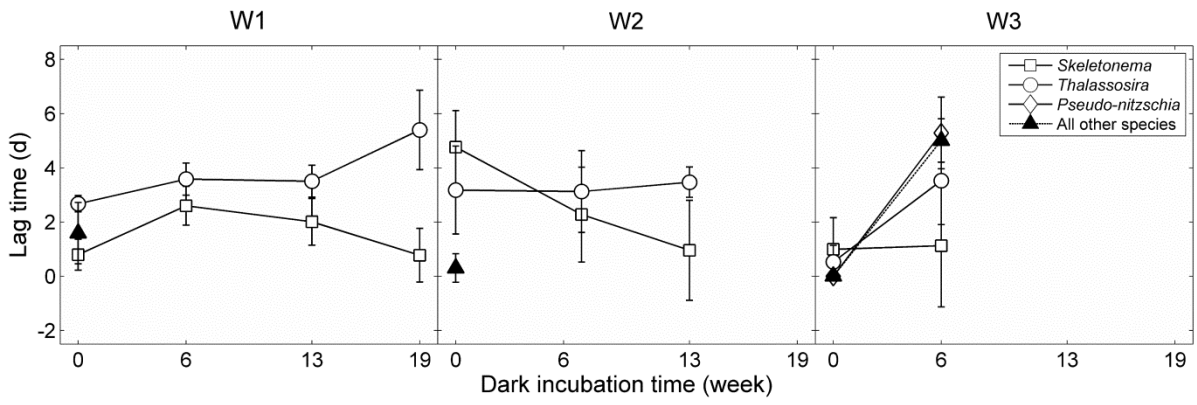
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471 **Fig. 2** Growth rates as a function of the length of dark incubation prior to re-illumination, after  
 472 varying periods of darkness and average growth rates during dark incubation, error bars mean  $\pm 1$   
 473 SD. Growth rates in light culture: open squares: *Skeletonema*, open circles: *Thalassiosira*; open  
 474 diamonds: *Pseudo-nitzschia*, open triangles: all other species. Growth rates in dark incubation: full  
 475 squares: *Skeletonema*, full circles: *Thalassiosira*; full diamonds: *Pseudo-nitzschia*, full triangles: all  
 476 other species.



477

478 **Fig. 3** Lag times as a function of the length of dark incubation prior to re-illumination, after varying  
 479 periods of darkness, error bars mean  $\pm$  1 SD. Open squares: *Skeletonema*, open circles:  
 480 *Thalassiosira*; open diamonds: *Pseudo-nitzschia*, full triangles: all other species.

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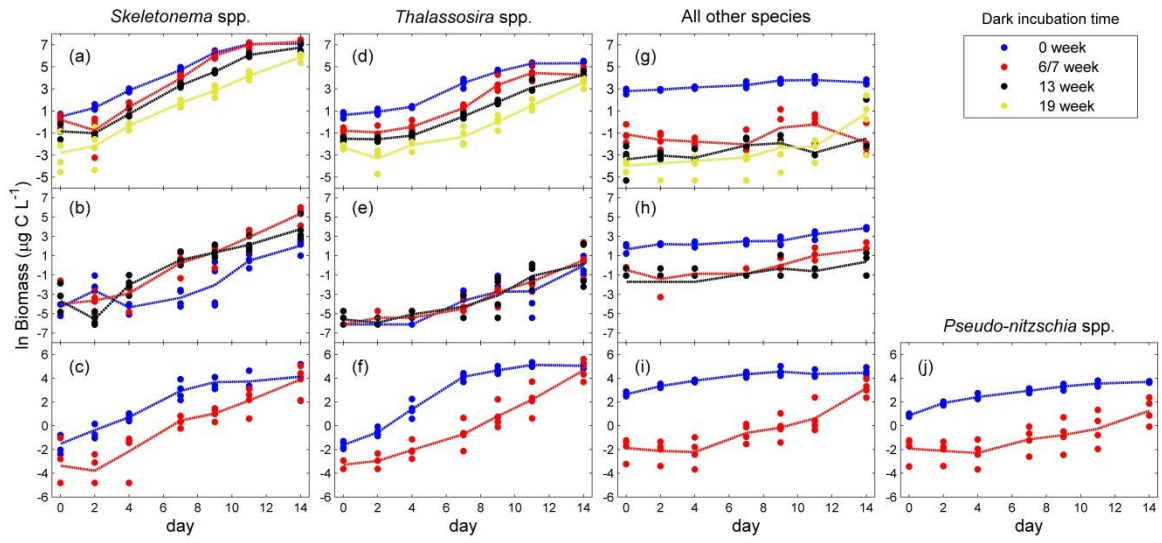
483 **Supplementary Document 1. Growth rate and lag time after varying periods of darkness**

Community	Species	Darkness	$\mu_{\text{growth}} \pm \text{SD} (\text{d}^{-1})$	Lag time $\pm \text{SD} (\text{d})$	$\bar{\mu}_{\text{growth}} \pm \text{SD} (\text{d}^{-1})$
W1	<i>Skeletonema</i>	0 week	0.70±0.05	0.80±0.57	-
		6 week	0.88±0.05	2.60±0.72	-
		13 week	0.78±0.05	2.01±0.86	-
		19 week	0.65±0.09	0.78±0.99	-
	<i>Thalassiosira</i>	0 week	0.63±0.06	2.68±0.30	-
		6 week	0.71±0.04	3.58±0.59	-
		13 week	0.61±0.09	3.50±0.59	-
		19 week	0.71±0.16	5.40±1.46	-
	All other species	0 week	0.12±0.04	1.59±1.13	-
		6 week	-	-	-0.05±0.06
		13 week	-	-	0.13±0.26
		19 week	-	-	0.31±0.19
	W2	<i>Skeletonema</i>	0 week	0.69±0.13	4.76±1.35
7 week			0.80±0.03	2.28±1.75	-
13 week			0.55±0.05	0.96±1.84	-
<i>Thalassiosira</i>		0 week	0.54±0.06	3.18±1.62	-
		7 week	0.57±0.06	3.12±1.51	-
		13 week	0.55±0.18	3.47±0.56	-
All other species		0 week	0.17±0.03	2.00±3.42	-
		7 week	-	-	0.15±0.05
		13 week	-	-	0.06±0.08
W3		<i>Skeletonema</i>	0 week	0.69±0.14	0.99±1.17
	6 week		0.51±0.12	1.13±2.57	-
	<i>Thalassiosira</i>	0 week	0.88±0.09	0.53±0.61	-
		6 week	0.76±0.06	3.53±1.62	-
	<i>Pseudo-nitzschia</i>	0 week	0.39±0.06	0	-
		6 week	0.34±0.06	5.29±1.33	-
	All other species	0 week	0.23±0.04	0	-
		6 week	0.51±0.09	5.00±0.80	-

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486 **Supplementary Figure**



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